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### RITUXIMAB MAINTENANCE STRATEGY IN ADVANCED FOLLICULAR LYMPHOMA: FACTS AND CONTROVERSIES

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SUMMARY – Rituximab is a chimeric monoclonal CD20 antibody used in the treatment of CD20 positive non-Hodgkin lymphomas and has revolutionized treatment approach to these hematologic malignancies in the last decade. The main aim of this review is to present data on the use of rituximab in the treatment of follicular lymphoma (FL). We will focus on rituximab maintenance strategies in the first and second line treatment. This approach has improved the outcome in FL patients with better progression-free survival in all patients and better overall survival in relapsed setting. Regardless of good results, this strategy has generated controversies in medical community in the range from the lack of overall survival benefit in first line setting, adverse effects of possible overtreatment and toxicities to its unknown role in the era of novel agents. The existing data suggest that rituximab maintenance should be a rational therapeutic option for all patients with FL responding to first line therapy and transplant-ineligible patients responding to reinduction.

Key words: Rituximab; Lymphoma, non-Hodgkin – therapy; Lymphoma, follicular; Maintenance chemotherapy; Treatment outcome

#### Introduction

Follicular lymphoma (FL) is one of the most common subtypes of non-Hodgkin lymphoma (NHL). A recent survey in the United States that included 596,476 patients with newly diagnosed NHL over a period of 14 years demonstrated that FL was the second most common subtype of NHL, accounting for 17.1% of cases<sup>1,2</sup>. In Croatia, the incidence of NHL is 5.57 *per* 100,000 of men or women, as reported by Novak *et al.* for the 2005-2009 period<sup>3</sup>. FL accounts for 20.2% of NHL cases in Croatia as demonstrated re-

cently by the international NHL classification project<sup>4</sup>. The histology of FL is characterized by germinal centers of B lymphocytes, predominantly centrocytes and centroblasts with follicular growth pattern<sup>2,5</sup>. They harbor universal translocation t(14;18)(q32;q21) involving the rearrangement of BCL2 and IgH in most cases. Morphologically, four different grades are recognized, based on the number or centroblasts *per* high power field with FL grade 4b being a distinct entity due to different biological and clinical behavior<sup>2,6</sup>. It is also characterized by expression of BCL2, BCL6, CD20, CD19 and monoclonal immunoglobulin light chain.

The course of the disease is marked by indolent course, frequent relapses, 'incurability', and tendency to transform into more aggressive NHL (diffuse large B cell lymphoma). It is important to note that not all patients are treated initially due to the 'watch and wait'

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Table 1. GELF proposal as a reason to start treatment?

Reason to start treatment
Involvement of 3 nodal sites (diameter ≥3cm)
Any tumor mass greater than 7 cm
B symptoms
Pleural effusion or ascites
Cytopenia (leukopenia, thrombocytopenia)
Leukemia (>5x109 of malignant cells)

Table 2. Risk stratification according to FLIPI-1 and FI.IPI-2<sup>10,11</sup>

Prognostic score	
FLIPI-1*	FLIPI-2**
Age ≥60 years	Beta 2 microglobulin ≥ULN
Ann Arbor stage III or IV	Bone marrow involvement
Hemoglobin ≤120 g/L	Age >60 years
Serum LDH ≥ULN	Hemoglobin ≤120 g/L
Number of nodal sites ≥5	Longest nodal diameter >6 cm

\*number of factors: 0-1 low risk group; 2 intermediate risk group; 3 or more high risk group; \*number of factors: 0 low risk group; 1-2 intermediate risk group; 3 or more high risk group; ULN = upper normal limit; LDH = lactate dehydrogenase

strategy. The most commonly used treatment criteria (GELF) are presented in Table 17. Controversies remain regarding treatment rationale in cases of low tumor mass<sup>8,9</sup>. Furthermore, newly diagnosed FL patients should be stratified according to FLIPI-1 or FLIPI-2 criteria (Table 2) in order to predict the outcomes and overall survival (OS)<sup>10,11</sup>.

The main aim of this review is to analyze the existing data on the current treatment of FL with special emphasis on maintenance therapy.

## Historical Overview of Advances in Follicular Lymphoma Therapy

Prognosis in patients diagnosed with FL was poor. In a retrospective single-center analysis from the 1970s, the 5-year OS was 54%, deteriorating to 34% in patients older than 50<sup>12</sup>. Disease-free survival after 5-year follow up was only 18% of patients. However, these numbers improved as outlined by the recent EU-

ROCARE 5 report<sup>13</sup>. A subanalysis for hematologic malignancies was performed for 1997-2007 including 32,110 FL cases<sup>14</sup>. Considerable improvement was recorded in 5-year OS of FL patients and in comparison to other lymphoid malignancies, rising from 58.9% in the 1997-1999 period to 74.3% in the 2006-2008 period. There are several reasons for this improvement in outcomes, but for the purpose of this review we will state only two, i.e. the widespread introduction of rituximab, a chimeric antiCD20 antibody, and improvement in autologous stem cell transplantation (ASCT).

In the report of the European Society for Blood and Marrow Transplantation (EBMT) study, which included 693 patients followed-up for at least 15 years, the outcomes of ASCT for FL are presented<sup>15</sup>. The median progression-free survival (PFS) at 5, 10 and 15 years was 41%, 28% and 26%, respectively, with a plateau in the survival curve indicating satisfactory disease control in one-quarter of patients with relapsed or refractory FL. The median OS for ASCT at 5, 10 and 15 years was 64%, 52% and 47%, respectively. In a study of 121 patients undergoing ASCT at second remission or subsequent relapse, followed-up for at least 12 years, PFS was 55% at 5 year and 48% at 10 years<sup>16</sup>. These results also show adequate tumor control, which can be obtained by ASCT. The only factor associated with longer OS was ASCT at 2<sup>nd</sup> complete remission (CR). This treatment approach was also embraced officially by the leading international guidelines and subsequently by the Croatian Society of Hematology and Transfusion in the national lymphoma guidelines<sup>17-19</sup>.

Another important improvement in the treatment of FL patients was the introduction of rituximab. The pivotal study included 166 patients with relapsed FL or other low grade NHL on infusion regimen with 375 mg/m<sup>2</sup> once weekly for 4 weeks<sup>20</sup>. The overall response rate (ORR) was 48% and PFS 13 months. Toxicities were mild and the efficacy was comparable to the cyclophosphamide, doxorubicin, vincristine and prednisone (CHOP) chemotherapy regimen, then considered as the gold standard for indolent lymphomas. This study led to approval of rituximab for relapsed indolent NHL in 1997<sup>21</sup>. With its impressive activity and low toxicity profile, the question arose if rituximab could be further combined with conventional chemotherapy to improve outcomes in these patients. Preliminary data on preclinical models sup-

ported in vivo synergistic effects of rituximab with cytotoxic drugs<sup>22</sup>. However, it took years to translate these findings into clinical reality. The first pivotal randomized trial published in 2005 compared cyclophosphamide, vincristine and prednisone (CVP) with rituximab-CVP (R-CVP)<sup>23</sup>. It included 321 patients with stage III or IV FL. Primary objective was time to treatment failure (TTTF). TTTF was significantly longer in R-CVP group as compared with CVP group (27 months vs. 7 months). ORR rates were 81% and 57%, respectively, indicating superior tumor control in rituximab group with prolonged duration of response (35 months vs. 14 months). Concerning toxicity profile, the addition of rituximab resulted in infusion reactions that were manageable and higher rates of neutropenia but without any clinical repercussion. The authors conclude that R-CVP represents a novel, improved standard of care in FL, which was recognized by regulatory agencies<sup>24</sup>. The results of this study were updated with a longer follow up of 53 months showing the superiority of R-CVP with OS benefit (83% vs. 77%)<sup>25</sup>. Subsequently, additional improvements of the first line rituximab-containing regimen were explored. Rituximab was also included in the anthracyclinebased CHOP regimen in a trial including 428 high tumor burden FL patients<sup>26</sup>. Better ORRs, longer time to treatment failure (60% TTTF reduction) and duration of response, and better 2-year OS rates (95% vs. 90%) were achieved with R-CHOP as compared to CHOP. This study added to the on-going heated discussion whether to use anthracyclines in the frontline therapy for better tumor control in FL. A meta-analysis that included four clinical trials was performed to answer this review question<sup>27</sup>. Therapy with R-CVP enabled higher CRs while R-CHOP was associated with better ORRs. However, the pitfall of this systematic review was not analyzing long term FL outcomes, and the authors conclude that the choice of the regimen should be decided individually based on the need to avoid anthracycline cardiotoxicity in older patients or in young patients where salvage therapy followed by ASCT is planned in the future. A recent Cochrane meta-analysis including 8 randomized control trials investigated the effect of adding anthracycline in treating FL on long term outcomes<sup>28</sup>. No OS benefit was recorded while PFS was in favor of anthracycline usage. However, anthracycline was associated with higher toxicity, primary cytopenias and cardiotoxicity, which limit its application. The use of R-CHOP or R-CVP currently depends on the experts' judgment until the large observational trials such as RE-FLECT-1 provide answer to this question<sup>29</sup>.

First line therapy including rituximab has achieved excellent tumor control and reduction, but the question remains if additional benefit of rituximab may be exploited to reduce relapses and prolong PFS without the need for further cytotoxic treatment.

## The Rationale for Rituximab as Maintenance Therapy

After encouraging results of the pivotal study on rituximab, additional analysis was conducted based on the pharmacokinetics and response<sup>20,30</sup>. Overall, rituximab serum concentrations increased and accumulated after each infusion with slow clearance during post treatment follow up. Additionally, the authors found that serum concentration of rituximab was significantly higher in responders when compared to non-responders, especially during follow up. Additional parameters associated with elevated rituximab concentration were B-cell depletion, reduction of the largest tumor diameter, and the sum of diameter of six largest tumor lesions. The authors conclude that this may represent additional antitumor activity of rituximab and that higher concentrations may have a beneficial clinical effect. These findings were further clinically confirmed in a randomized control trial by Ghielmini et al.31. In 185 treatment naïve or relapsed FL patients, rituximab was administered once weekly for four weeks. After induction, they were randomized to receive additional four doses of rituximab every 8 weeks ('prolonged phase') or had no additional therapy. Primary outcome of the study was EFS which was significantly longer in 'prolonged' group (23.2 months vs. 11.8 months). Overall, the risk of disease related event decreased by 60%. The prolonged treatment was not associated with any clinically relevant toxicity. In conclusion, prolonged rituximab exposure is associated with beneficial effects in FL with more favorable outcomes. This trial provided evidence to further explore if rituximab could be used as maintenance therapy for reducing the relapse rates and the need for additional chemotherapy.

### Maintenance with Rituximab in Relapsed Follicular Lymphoma

The first randomized phase III trial explored rituximab maintenance in 176 patients with relapsed or refractory FL or mantle cell lymphoma<sup>32</sup>. Reinduction was induced with fludarabine, cyclophosphamide and mitoxantrone (FCM) with or without rituximab. Owing to the more favorable ORRs in R-FCM group, first randomization was stopped and additionally recruited patients were allocated to R-FCM group. Second randomization of responding patients involved rituximab maintenance (2 courses of 4-times-weekly doses at 3<sup>rd</sup> and 9<sup>th</sup> month) and observation. Primary objective of the study was response duration, which was not reached in maintenance group vs. 17 months in observation group after median follow up of 26 months. When analyzing for the subtypes of NHLs, difference persisted in FL patients. Regarding toxicity, only one discontinuation occurred due to severe infusion reactions, but lymphocytopenia was most pronounced without infections.

A rituximab maintenance study in relapsed and refractory FL patients was carried out by EORTC group, involving 456 patients randomized at 1:1 ratio to receive either R-CHOP or CHOP as reinduction therapy<sup>33</sup>. Second randomization included rituximab maintenance administered once in 3 months for 2 years or observation. As expected, the addition of rituximab to CHOP regimen resulted in better ORRs and PFS. Promising disease control, defined as PFS of 51.5 months was achieved as compared to only 14.9 months in observation arm for the whole group. PFS remained significant when adjusting for R-CHOP or

CHOP regimens, for the first time showing that maintenance strategy following the standard of care improved outcomes in these patients. Furthermore, PFS translated in OS benefit with 3-year OS rate was 85.1% in maintenance group as compared with 77.1% in observation group. Additional safety risks arose in patients in the maintenance group being more prone to neutropenia (10.8%) and infections (9%). This toxicity was manageable and no death related to treatment was recorded. EORTC trial has demonstrated major improvements in care for relapsed or refractory FL patients, leading to approval of rituximab maintenance for this indication<sup>20</sup>. Long term follow up (median 6 years) of the trial was published, again demonstrating better PFS in maintenance group as compared to observation group (3.7 years vs. 1.7 years)<sup>34</sup>. However, the benefit in OS was lost probably due to the bias produced by consequent retreatment regimens containing rituximab. Subsequent meta-analysis included 6 randomized controlled trials including 909 patients with relapsed or refractory FL<sup>35</sup>. Improved OS was seen in maintenance group with hazard-ratio of death estimated to 0.72. Therefore, current evidence shows not only PFS, but also OS benefit in this subgroup of patients (Table 3). Accordingly, the Croatian national guidelines for lymphoma diagnostics and management have included rituximab maintenance in second CR or partial remission in transplant ineligible patients<sup>19</sup>.

#### Rituximab Maintenance as Part of First Line Therapy of Follicular Lymphoma

Preliminary results of rituximab maintenance therapy after rituximab induction at four weekly doses

Table 3. Summary of selected phase III randomized control trials on maintenance therapy in relapsed or refractory follicular lymphoma

Study	N	Reinduction	Primary objective	Overall survival
Forstpointner et al. <sup>32*</sup>	176	R-FCM	Response duration: median	77% vs. 57%****
			not reached vs. 17 months	
van Oers et al. <sup>33**</sup>	456	R-CHOP	PFS: 51.5 months vs. 14.9 months	85.1% vs. 77.1%
		CHOP		
van Oers et al.34***	456	R-CHOP	PFS: 3.7 years vs. 1.7 years	74.3% vs. 64.7%****
		CHOP		

<sup>\*</sup>follow up 26 months, 3-year OS rate; \*\*\*follow up 33.3 months, 3-year OS rate; \*\*\*follow up 6 years, 5-year OS rate; \*\*\*\*nonsignificant; OS = overall survival; R-FCM = rituximab, fludarabine, mitoxantrone, cyclophosphamide; R-CHOP = rituximab, cyclophosphamide, doxorubicin, vincristine, prednisone

showed improved PFS, as described previosly<sup>31</sup>. Another study explored this strategy in rituximab-naïve patients<sup>36</sup>. The study enrolled 387 patients suffering from indolent NHL, most of them FL. The induction regimen was CVP. Patients were then randomized either to maintenance therapy (four doses of rituximab monthly at 6-month intervals, 4 courses) or observation arm. Three-year PFS was 68% in maintenance group versus 33% in observation group. PFS advantage did not significantly translate into OS rates. More importantly, the study has reported conversion to better response to CVP during rituximab phase in 22% of patients compared with only 7% in observation group, indicating that not only rituximab maintenance improved outcomes in terms of PFS, but could additionally improve ORRs to prior induction regimen. No data on toxicity were provided in the study. These studies used historical regimens (CVP, rituximab 4 doses weekly) and the need arose to explore maintenance strategy in contemporary setting. In one of the largest trials to date, PRIMA, which enrolled 1217 patients suffering from untreated high burden FL, newer treatment regimens were used<sup>37</sup>. All patients were treated with one of the following chemotherapy regimens: R-CHOP, R-CVP or R-FCM. After the end of treatment, 1019 patients were randomized into rituximab maintenance group (rituximab therapy once in two months for two years) or observation group. Primary endpoint was PFS. The 3-year PFS rate was 74.9% in rituximab group as compared with 57.6% in observation group, with median follow up of 36 months. Furthermore, as shown by previous study, this strategy may contribute to conversion to optimal response, i.e. 72 patients with PR were converted to CR during the maintenance phase. Once again, PFS did not translate into OS benefit. Results with a longer follow up (median 6 years) have been reported<sup>38</sup>. The 6-year PFS rate was 59.2% for maintenance group versus 42.7% in observation group. Time to next lymphoma treatment or chemotherapy was significantly longer in the maintenance group. The maintenance strategy did not affect second line treatment of FL with CRs being similar between the groups. However, no significant difference in OS has been reported. Based on the results of PRI-MA trial, rituximab was approved by regulatory agencies for another indication as first line maintenance therapy in previously untreated FL responding to induction therapy<sup>24</sup>.

It should be stressed that one study did not find benefit of maintenance therapy in FL. This trial was conducted in elderly, untreated patients (N=234) using rituximab, fludarabine, mitoxantrone and dexamethasone (R-FND). Patients were randomized in rituximab maintenance (one dose every four months, total of four doses) or observation arm. Primary endpoint of the study was 2-year PFS reaching 81% in rituximab group versus 69% in maintenance group. However, this difference was not statistically significant (p=0.226)<sup>39</sup>. There may have been several reasons, e.g., short follow up, limited rituximab exposure, small sample underpowered to detect difference and use of non-standard immunochemotherapy regimen in the first line setting. An updated meta-analysis has consistently shown improvement in PFS across various studies (N=5), but again without translation in OS35. Data on rituximab in first line maintenance therapy treatment of FL are summarized in Table 4.

# Controversies Surrounding Maintenance Therapy in Advanced High Burden Follicular Lymphoma: to Maintain or not to Maintain?

So far, we have described relevant clinical trials of maintenance strategy in FL and several controversies have arisen. This will be discussed regarding routine clinical practice.

### The role of autologous stem cell transplantation for relapsed follicular lymphoma in rituximab era

One of the few clinical trials examining ASCT and conventional therapy (N=89) showed OS and PFS benefit, but was performed before the advent of rituximab<sup>40</sup>. The largest data set on ASCT comes from various retrospective series (e.g., EBMT), while data on ASCT during rituximab availability are scarce<sup>15,41</sup>. In a retrospective analysis by the French GELA group, 254 patients with relapsed FL were included<sup>42</sup>. Patients treated with rituximab-based regimen had significantly better outcomes (OS and EFS) than those treated with ASCT, but adding rituximab to salvage regimen followed by ASCT resulted in the most efficient disease control (OS and EFS not reached). According to the Croatian national guidelines, salvage chemotherapy containing rituximab followed by ASCT is recommended for young, fit patients without

Study	N	Induction	Primary objective	Overall survival
Ghielmini et al.31*	64	Rx4	EFS: 36 vs. 19 months	Not reported
Hochster et al.36**	288	CVP	PFS: 68 vs. 33 months	92% vs. 86%*****
Salles et al. <sup>37***</sup>	1217	R-CVP R-CHOP R-FCM	PFS:74.9 vs. 57.6% months	No significant reduction in hazard ratio for death
Salles <i>et al.</i> 38****	1217	R-CVP R-CHOP R-FCM	PFS: 59.2 vs. 42.7%	84.7% vs. 88.7****
Vitolo et al.39****	234	R-FND	PFS: 81% vs. 69% *****	Not reported for groups

Table 4. Data from selected phase III randomized controlled trials on rituximab maintenance following first line therapy in high burden follicular lymphoma

\*median follow up 35 months; \*\*median follow up 3.7 years, 3-year survival rates; \*\*\*\*\*median follow up 3 years, 3-year survival rates; \*\*\*\*\*median follow up 6 years, 6-year survival rates; \*\*\*\*\*\*median follow up 2 years, 3-year survival rates; \*\*\*\*\*\*nonsignificant; R = rituximab; CVP = cyclophosphamide, vincristine, prednisone; CHOP = cyclophosphamide, doxorubicin, vincristine, prednisone; FCM = fludarabine, mitoxantrone, cyclophosphamide; FND = fludarabine, mitoxantrone, dexamethasone; EFS = event-free survival; PFS = progression-free survival

significant comorbidities<sup>19</sup>. The issue of possible omission of ASCT in FL must be resolved in the context of randomized clinical trial examining ASCT compared to rituximab maintenance approach. To our knowledge, no such trial has been performed. As stated previously, in transplant non-eligible patients, rituximab post-reinduction maintenance approach is a standard of care since benefits are recorded in both PFS and OS<sup>17,18,35</sup>.

### Is progression-free survival a valid endpoint in follicular lymphoma?

One of the main criticisms of rituximab maintenance therapy in high tumor burden FL is the fact that improvement in PFS has not yet translated in OS benefit by clinical trials and meta-analyses<sup>31,35-39,43</sup>. Several factors affecting OS in FL should be taken into account. First, FL is characterized by an indolent course leading to high OS rates and front-line rituximab contributed to 74.3% of 5-year OS rate<sup>1,14</sup>. A follow up longer than 5 years would provide information if PFS will translate in OS. Second, for relapsed patients the established second line rituximab regimens and ASCT may result in additional OS interpretation bias due to long term remissions, which directly influences the OS curve. In a recent review by Korn and Crowley, PFS was highlighted as a powerful endpoint in clinical trials to be included in future studies44. In the time of accelerated approvals of cancer drugs on the basis of surrogate endpoints such as response rates with sufficient duration (i.e. pathologic complete response to neoadjuvant therapy in breast cancer) or molecular remissions (e.g., tyrosine kinase inhibitors for chronic myelogenous leukemia), evidence for clear PFS benefit by rituximab maintenance in FL might be considered sufficient ground for inclusion in routine protocols<sup>45</sup>.

#### To retreat or to maintain?

Rituximab has been established as maintenance therapy, but its possible role in retreatment was also assessed. The first phase II randomized controlled trial included 114 rituximab naïve and previously relapsed patients with indolent NHLs<sup>46</sup>. Subjects first received 4 weekly rituximab infusions followed by maintenance (four courses of 4 doses of rituximab every 6 months) or reinduction with rituximab after disease progression. Primary objective was duration of rituximab benefit, which was 31.3 months in maintenance group versus 27.4 months in observation group. However, although the difference in primary outcome was not statistically significant, PFS was significantly longer in the maintenance group (31.7 vs. 7.4 months) with a higher rate of CRs and continuous remissions. When analyzing the results, we must take in account that this trial was designed in the era when rituximab was only approved for relapsed FL. Further bias when interpreting the results may be due to short follow up and unbalanced numbers across the groups due to early progressions prior to starting maintenance therapy.

The groundbreaking trial was the RESORT trial including 289 treatment naïve low burden FL patients<sup>47</sup>. After 4 weeks of rituximab induction, the subjects were randomized to either receive single rituximab infusion every 13 weeks (maintenance group) or reinduction in case of progression (retreatment group). The study had two primary objectives, i.e. TTTF and time to first cytotoxic therapy. TTTF did not statistically differ between the groups being 3.9 years in retreatment group versus 4.3 years in maintenance group. Time to first cytotoxic therapy was significantly longer in maintenance group as compared to retreatment group. The authors concluded that the retreat scheme was as efficacious as maintenance strategy, therefore reducing overtreatment in this group. The results of this study were greeted with great enthusiasm by maintenance opponents as the 'final nail in maintenance coffin'48,49,53. Still, great care should be exercised regarding extrapolation of these results to treatment of advanced FL. Considering the relatively short EFS of 11.8 months in first line rituximab induction therapy without extended use, retreatment strategy may not be as effective in high tumor burden FL, but this presumption was not analyzed. Although the retreatment option with rituximab may be attractive due to the acceptable toxicity profile and probable cost-effective benefit, in the absence of data for advanced FL, standard maintenance therapy should represent good clinical practice.

#### Toxicity of rituximab maintenance

Concerning the safety profile of rituximab maintenance, the largest set of data comes from the PRIMA trial<sup>37</sup>. The frequency of adverse events equal and greater than 3 occurred in 24% of patients in maintenance group as compared to 17% events in observation group. The most common events were new malignancy (4%), neutropenia (4%) and infections (4%). Discontinuation rate due to adverse events was 4% in maintenance group. Continuous rituximab exposure can cause low plasma immunoglobulins, but no significant decrease in immunoglubulins A, M and G was found in either group. Also, the incidence of transformation to aggressive diffuse large B cell lymphoma did not differ between the groups and no other safety risks

were observed<sup>38</sup>. Safety of rituximab maintenance treatment was investigated in MAXIMA, a phase IIIb trial, including 545 patients with previously untreated or relapsed FL50. Hematologic adverse events equal and greater than 3 occurred in 4.4% of subjects with the most prominent event being neutropenia (2.4%), but only 4 cases of febrile neutropenia were recorded. Concerning infections, 4.3% of events equal or greater than 3 were recorded, with pneumonia as the most frequent one. Infections of grade 1 or 2 were more common, including nasopharyngitis (7.1%), bronchitis (4.7%) and sinusitis (4.3%). Grade 3 or 4 hypoimmunoglobulinemia developed in 4 patients, and in 32 patients hypoimmunoglobulinemia was of prolonged duration, but this event was self-limiting and did not require intervention in most cases.

In a systematic review of rituximab maintenance therapy that included 11 clinical trials and 1009 patients suffering from FL or MCL, suppression of bone marrow and infections were most common toxicities, with 24% of patients experiencing grade 3 or 4 toxicity<sup>51</sup>. Significantly less toxicity was found in the regimen of 4 weekly rituximab infusions every 6 months compared to PRIMA schedule (once in two months for 2 years), i.e. 12% and 35%, respectively. The systematic review also included MCL patients, which probably affected the results, as MCL patients are treated with more aggressive therapy in first line treatment (high doses of cytarabine with cumulative toxicity). Rituximab maintenance therapy is associated with few side effects, which are tolerable and mostly do not cause discontinuation. While PRIMA schedule should remain the standard of care, in specific patient populations (elderly, unfit, and those prone to infectious complications) alternative approach with rituximab (four weekly doses every 6 months for four cycles) may be a sound option.

#### Rituximab maintenance therapy and quality of life

The World Health Organization defines the quality of life (QoL) as the "individuals' perception of their position in life in the context of the culture and value systems in which they live and in relation to their goals, expectations, standards and concerns"<sup>53</sup>. QoL as an important medical issue has substantial impact on designing of clinical trials, mostly as self-reported secondary endpoint. Two different QoL questionnaires

were applied in PRIMA trial and no difference was found between maintenance and observation group<sup>37</sup>. This has led to criticism that QoL is not improved in these patients, thus failing in secondary endpoint<sup>43</sup>. This is apparently conflicting, but the treatment did not further deteriorate QoL. Also, patients were censored at the time of progression, so prospective data on the possible deterioration in QoL during relapse and the true impact of maintenance strategy on QoL will remain unknown. It is important to note that relapsed FL patients experience a rapid decline in QoL, as demonstrated in a series of 222 patients during different disease states, but in relapsed setting<sup>53</sup>. The improvement in QoL as a valid surrogate outcome of treatment was recently raised by a prospective clinical trial including 379 asymptomatic, low tumor burden FL patients9. The main question of the trial was comparison of outcomes between the 'watch and wait' strategy versus rituximab treatment. By randomizing patients into three groups ('watch and wait', rituximab induction, and rituximab maintenance), the authors showed the expected improvement in time to next therapy in rituximab groups. Interestingly, the second main endpoint was improvement in QoL. The active approach, i.e. rituximab maintenance, significantly improved QoL in these patients, indicating that active approach towards illness improves many lifestyle aspects, including coping mechanisms and emotional status. QoL as primary endpoint has its drawbacks due to the existence of various, more cost-effective interventions in this group such as psychotherapy<sup>54</sup>. In conclusion, it is hard to extrapolate the findings of these studies, whether maintenance therapy improves QoL of FL patients indeed, but it is important to note that this strategy does not have negative impact, thus suggesting another argument for the validity of this approach.

#### The cost-effectiveness of rituximab maintenance

The cost of rituximab maintenance strategy is an important issue<sup>43</sup>. In a recent retrospective health economics US study that included 1002 patients with FL from MEDICARE database, the cumulative one-year cost for patients that progressed was 30,890 USD (N=268) *versus* only 8704 USD for those in observation group (N=734)<sup>55</sup>. The outpatients, inpatients, chemotherapy and acute care visits were more frequent in

progression group associated with higher cost of care and its impact on healthcare system. This study indirectly provides the rationale that the prolongation of PFS in FL patients is associated with lower healthcare burden and costs, and provides evidence that maintenance therapy can indeed be cost-effective. One of earlier cost-effectiveness studies of rituximab maintenance comes from the Swedish group<sup>56</sup>. They based their financial model on the phase III trial in relapsed setting conducted by EORTC group<sup>33</sup>. Incremental cost per quality-adjusted life-years (QALY) was estimated to 12,600 € for rituximab group, while the cost per life-years-gained (LYG) was 11,200 €. In all of the simulations, rituximab maintenance was associated with incremental costs which were less than 25,400 €. With regard to willingness-to-pay value in Sweden of up to 54,000 € per intervention, rituximab maintenance in relapsed setting was cost-effective. Recently, a Dutch population-based study used registry data comprising 3581 patients with relapsed FL undergoing maintenance therapy<sup>57</sup>. The incremental cost-effectiveness ratio (ICER) was 11,254 € per QALY and 10,591 € per LYG. ICERs slightly differed in two studies (EORTC trial and matched-real world scenarios), but in conclusion rituximab maintenance in relapsed patients was found to be cost-effective and in line with Dutch healthcare policy makers. Similar results were obtained by a French economic analysis based on EORTC trial estimating ICER of 7612 € per LYG and 8729 € per QALY<sup>33,58</sup>. These data demonstrate that rituximab maintenance is cost-effective in relapsed FL setting and its ICER is well below willingness-to-pay value for oncologic drug.

The earliest data on rituximab maintenance cost-effectiveness in first line setting come from US group based on the results of PRIMA trial<sup>37,59</sup>. Total cost of maintenance therapy was estimated to be 38,545 USD with ICERs being 31,394 and 34,842 USD for LYG and QALY, respectively. The majority of US studies use ICER *per* QALY threshold as 50,000 USD, this approach seems to be cost-effective from US point of view<sup>60</sup>. In Europe, only one health economics study from the UK National Institute for Health and Care Excellence examined rituximab maintenance<sup>61</sup>. Most of ICERs *per* QALY were under 30,000 GBP, as reported by the manufacturer, while Evidence Review Group estimated ICERs *per* QALY to range between

24,600 and 35,000 GBP based on the scenario. The review group has concluded that rituximab maintenance is cost effective for the National Health System resources, which evaluate ICER *per* QALY as 30,000 GBP. Data were from primary PRIMA study with a short follow up, which possibly influenced the results. Future studies including real-world data are needed to address this issue.

### Rituximab maintenance at the dawn of new drugs for indolent non-Hodgkin lymphoma: still necessary?

The past several years have certainly been exciting for indolent NHLs, particularly FL with the introduction of new drugs possibly changing treatment options. The first drug of interest is certainly bendamustine (B), an 'old', but new drug in the field. Bendamustine acts as a potent alkylating agent, indeed more potent than cyclophosphamide62, which also is not cross-resistant with other members from the group. The activity of bendamustine was demonstrated in early clinical trials in refractory or relapsed indolent NHLs resulting in high ORRs and durable remissions as a single agent or in combination therapy resulting in FDA approval for rituximab-resistant indolent NHLs<sup>63</sup>. However, the true value of bendamustine was recognized in first line therapy of iNHLs. First randomized clinical trial compared bendamustine in combination with rituximab (R-B) to standard R-CHOP therapy in indolent and mantle cell lymphomas<sup>64</sup>. This combination resulted in improved PFS when compared to standard arm across all NHLs histology. Also, its toxicity was more tolerable with fewer hematologic and other adverse events (mostly infections). The results of this trial produced the hype that the era of R-CHOP had ended<sup>65</sup>. However, despite the non-inferiority design, BRIGHT study compared R-B to R-CHOP or R-CVP and did not demonstrate superiority in terms of ORRs, while PFS was not reported<sup>70</sup>. Adverse events included more hypersensitivity reactions, vomiting and nausea, and infection rate was not reduced. These results sparked doubt on the role of bendamustine in first line treatment and ongoing controversy whether B-R regimen should replace current standard regimens in FL and has not been granted universal approval for this indication. Nevertheless, ever more patients are being treated with B-R regimen questioning appropriateness of which has led to the question whether subsequent rituximab

maintenance still plays a role in this setting. Rituximab maintenance following B-R induction is being evaluated in the ongoing MAINTAIN study<sup>67</sup>. Preliminary results have shown feasibility of the approach, but we will have to wait if difference in PFS will be achieved between maintenance arms and observation arm.

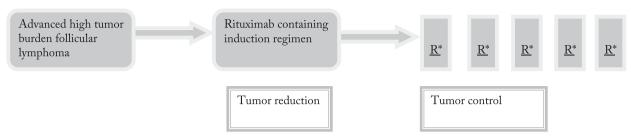
The greatest challenge to rituximab maintenance poses the relatively new introduction of B-cell receptor (BCR) pathway inhibitors into the field of NHLs<sup>68</sup>. These agents have rapidly changed therapy approach as tyrosine kinase inhibitors. In indolent NHLs, the most important member of the class is currently idelalisib, a phophatidylinositol-3 kinase  $\delta$  inhibitor. Based on the preliminary data on its activity in indolent NHLs, a randomized, clinical trial was performed on 125 heavily pretreated patients with indolent NHLs<sup>69</sup>. Idelalisib has shown satisfactory activity with ORR of 57% and median duration of response of 12.5 months, which was granted by FDA approval for refractory and relapsed indolent NHLs<sup>70</sup>. Although this agent alone cannot produce durable CRs, its low toxicity in relapsed setting and new mechanism of action make idelalisib a preferable target for various combinations. Recently, a great setback has occurred with idelalisib<sup>71</sup>. The interim safety analysis of trials exploring idelalisib in combinations with cytotoxic drugs has shown inferior OS due to toxicity profile (Pneumocystis jirovecii infections, cytomegalovirus reactivation, high rates of transaminitis and pneumonitis), which led to suspension of RCTs in first line setting. Other BCR pathway inhibitors for indolent NHLs, such as venetoclax, are explored in phase I/II trials and proper introduction of these agents in real time clinical practice will not occur soon.

#### Conclusion

The existing data indicate that rituximab maintenance in advanced high tumor FL is efficient for:

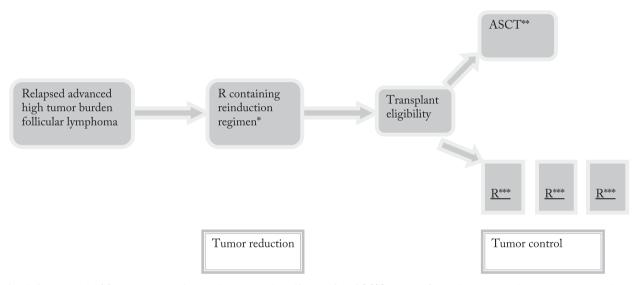
- 1. prolonged PFS
- 2. prolonged OS in relapsed FL
- 3. optimization of response
- 4. low toxicity profile
- 5. adequate cost-benefit profile
- 6. no negative interference with QoL

Recommended treatment algorithms are presented in Figures 1 and 2. Rituximab maintenance may be used in first and second line treatment of advanced



\*Maintenance in case of complete or partial remission, dosing schedule according to PRIMA study<sup>37</sup>

Fig. 1. Preferred treatment algorithm for previously untreated advanced high tumor burden follicular lymphoma<sup>72</sup>.



\*include rituximab if first remission is longer than 6 months<sup>19</sup>; \*\*proceed to ASCT in case of complete or partial remission in transplant-eligible patient; \*\*\*rituximab maintenance in case of complete or partial remission in transplant-ineligible patient, use EORTC dosing schedule<sup>33</sup>

Fig. 2. Preferred treatment algorithm for relapsed advanced stage high tumor burden follicular lymphoma.

high tumor burden FL according to the national guidelines for lymphoma diagnosis and treatment<sup>19</sup>. Despite current controversies surrounding this strategy, current data suggest that rituximab maintenance may and need to be employed in modern treatment of FL.

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#### Sažetak

### ODRŽAVANJE RITUKSIMABOM U UZNAPREDOVALOM FOLIKULARNOM LIMFOMU: KONTROVERZIJE

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Rituksimab je kimerično antiCD20 protutijelo koje se koristi u liječenju CD20 pozitivnih ne-Hodgkinovih limfoma te je promijenilo paradigmu liječenja ovih hematoloških neoplazma u prošlom desetljeću. Glavni cilj ovoga preglednog rada je predstaviti njegovu primjenu u folikularnom limfomu (FL) s naglaskom na terapiju održavanja. Ova strategija doprinijela je boljem preživljenju bez progresije bolesti u prvoj i drugoj liniji terapije, odnosno boljem ukupnom preživljenju u bolesnika s relapsom FL-a. No, usprkos dobrim rezultatima, održavanje rituksimabom je doprinijelo kontroverzi u medicinskoj zajednici. Navedene nedoumice potječu od nedostatka poboljšanja ukupnog preživljenja u prvoj liniji terapije, moguće toksičnosti do nepoznate uloge u eri novih lijekova za liječenje FL-a. Prema postojećim podacima zaključujemo da terapiju održavanja rituksimabom treba ponuditi bolesnicima s FL-om koji su odgovorili na prvu liniju terapije te bolesnicima s relapsom FL-a koji su odgovorili na reindukciju, a nisu kandidati za liječenje autolognom transplantacijom matičnih stanica.

Ključne riječi: Rituksimab; Limfom, ne-Hodgkinov – terapija; Limfom, folikularni; Terapija održavanja; Ishod liječenja